

Applicants submit herewith the Notice of Recordation and the assignments of this application and the application for the cited Srivistava et al. Patent 6,549,922 (U.S. Application Serial No. 09/410,781) showing that these applications were commonly owned by Oracle Corporation. As provided by 35 U.S.C. 103(c), the Srivastava et al. patent cannot preclude patentability under Section 103(a). Section 103(c) states.

*(c) Subject matter developed by another person, which qualifies as prior art only under one or more of subsections (e), (f), and (g) of section 102 of this title, shall not preclude patentability under this section where the subject matter and the claimed invention were, at the time the invention was made, owned by the same person or subject to an obligation of assignment to the same person.*

Applicants' undersigned attorney apologizes for his prior failure to recognize that the cited Srivistava et al. patent was not prior art. Applicants' attorney was fully aware that both applications were owned by Oracle, since he also prepared and prosecuted the earlier application. He simply failed to recognize the applicability of Section 103(c) in these circumstances until after the appeal was filed.

#### **CONCLUSION**

It is accordingly requested that the prosecution be reopened, that the outstanding rejection be withdrawn, and that this application be allowed.

Respectfully submitted,



Charles G. Call, Reg. 20,406

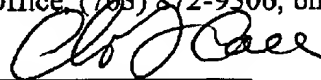
Dated: April 22, 2004

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Dated: April 22, 2004

Signature



Charles G. Call, Reg. No. 20,406

USPTO Customer No. 021253

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Washington, D.C. 20231

MAY 23, 2001

PTAS

CHARLES CALL  
PATENT ATTORNEY  
53 SAINT STEPHEN STREET  
BOSTON, MA 02115



\*101643498A\*

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RECORDATION DATE: 03/12/2001

REEL/FRAME: 011595/0145  
NUMBER OF PAGES: 6

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:  
SRIVASTAVA, ALOK

DOC DATE: 02/05/2001

ASSIGNOR:  
CARRER, MARCO

DOC DATE: 02/05/2001

ASSIGNOR:  
LIN, PAUL

DOC DATE: 02/05/2001

ASSIGNEE:  
ORACLE CORPORATION  
500 ORACLE PARKWAY  
REDWOOD SHORES, CALIFORNIA 94065SERIAL NUMBER: 09410781  
PATENT NUMBER:FILING DATE: 10/01/1999  
ISSUE DATE:

011595/0145 PAGE 2

ANTIONE ROYALL, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

FORM PTO-1619A  
Expires 06/30/99  
OMB 0651-0027

03-22-2001



101643498

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**Conveying Party(ies)**

- ☒ Mark if additional names of conveying parties attached
- Name (line 1) Srivastava, Alok Execution Date  
Month Day Year  
02052001

Name (line 2) \_\_\_\_\_

**Second Party**Name (line 1) Carrer, Marco Execution Date  
Month Day Year  
02052001

Name (line 2) \_\_\_\_\_

**Receiving Party**

- ☐ Mark if additional names of receiving parties attached

Name (line 1) Oracle Corporation

Name (line 2) \_\_\_\_\_

Address (line 1) 500 Oracle Parkway

Address (line 2) \_\_\_\_\_

Address (line 3) Redwood ShoresCA94065

City

State/Country

Zip Code

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<b>Enter additional Conveying Parties</b>		Execution Date Month Day Year														
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Name (line 2)	<input type="text"/>															
Name (line 1)	<input type="text"/>	Execution Date Month Day Year														
Name (line 2)	<input type="text"/>	<input type="text"/>														
Name (line 1)	<input type="text"/>	Execution Date Month Day Year														
Name (line 2)	<input type="text"/>	<input type="text"/>														
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	City	State/Country														
<b>Application Number(s) or Patent Number(s)</b> <input type="checkbox"/> Mark if additional numbers attached																
<b>Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).</b>																
<table style="width: 100%; border-collapse: collapse;"><tr><td style="width: 50%; text-align: center;"><b>Patent Application Number(s)</b></td><td style="width: 50%; text-align: center;"><b>Patent Number(s)</b></td></tr><tr><td><input type="text"/></td><td><input type="text"/></td></tr><tr><td><input type="text"/></td><td><input type="text"/></td></tr><tr><td><input type="text"/></td><td><input type="text"/></td></tr><tr><td><input type="text"/></td><td><input type="text"/></td></tr><tr><td><input type="text"/></td><td><input type="text"/></td></tr><tr><td><input type="text"/></td><td><input type="text"/></td></tr></table>			<b>Patent Application Number(s)</b>	<b>Patent Number(s)</b>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
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OMB 0651-0027

Page 2

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Patent and Trademark Office  
**PATENT****Correspondent Name and Address**

Area Code and Telephone Number (617) 268-2925

Name Charles G. Call

Address (line 1) Patent Attorney

Address (line 2) 53 Saint Stephen Street

Address (line 3) Boston, MA 02115

Address (line 4)

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# 3

**Application Number(s) or Patent Number(s)**☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

09/410,781

Patent Number(s)

If this document is being filed together with a new Patent Application, enter the date the patent application was  
signed by the first named executing inventor.

Month Day Year

**Patent Cooperation Treaty (PCT)**

Enter PCT application number

only if a U.S. Application Number  
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# 1

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Fee Amount for Properties Listed (37 CFR 3.41): \$ 120.00

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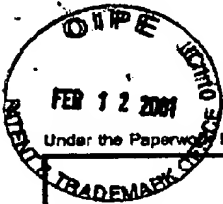
Charles G. Call, Reg. No. 20,406

Name of Person Signing

Signature

02/08/2001

Date



PTO/BB/15 (8-98)

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## ASSIGNMENT OF APPLICATION

Docket Number (Optional)  
99,009

Whereas, I, Alok Srivastava of Chelmsford, MA 01824, hereafter referred to as applicant, have invented certain new and useful improvements in Media Annotator

☒ for which an application for a United States Patent was filed on 10/1/1999,  
Application Number 09 / 410,781

☐ for which an application for a United States Patent was executed on \_\_\_\_\_, and

Whereas, Oracle Corporation of Redwood Shores, CA 94065 herein referred to "assignee" whose post office address is 500 Oracle Parkway, Redwood Shores, CA 94065 is desirous of acquiring the entire right, title and interest in the same;

Now, therefore, in consideration of the sum of One dollars (\$ 1.00), the receipt whereof is acknowledged, and other good and valuable consideration, I, the applicant, by these presents do sell, assign and transfer unto said assignee the full and exclusive right to the said invention in the United States and the entire right, title and interest in and to any and all Patents which may be granted therefor in the United States, I hereby authorize and request the Commissioner of Patents and Trademarks to issue said United States Patent to said assignee, of the entire right, title, and interest in and to the same, for his sole use and behoof; and for the use and behoof of his legal representatives, to the full end of the term for which said Patent may be granted, as fully and entirely as the same would have been held by me had this assignment and sale not been made.

Executed this 5<sup>th</sup> day of Feb 2001  
at NEDC, Nashua, NH

State of \_\_\_\_\_ SS:

County of \_\_\_\_\_

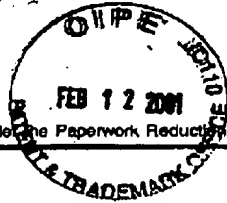
Before me personally appeared said Alok Srivastava  
and acknowledged the foregoing instrument to be his free act and deed this \_\_\_\_\_  
day of \_\_\_\_\_

(Signature)

Seal

(Notary Public)

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## ASSIGNMENT OF APPLICATION

Docket Number (Optional)  
99,009

Whereas, I, Marco Carrer of Nashua, NH 03062, hereafter referred to as applicant, have invented certain new and useful improvements in Media Annotator

☒ for which an application for a United States Patent was filed on 10/1/1999  
Application Number 09 / 410,781

☐ for which an application for a United States Patent was executed on \_\_\_\_\_, and

Whereas, Oracle Corporation of Redwood Shores, CA 94065 herein referred to "assignee" whose post office address is 500 Oracle Parkway, Redwood Shores, CA 94065 is desirous of acquiring the entire right, title and interest in the same;

Now, therefore, in consideration of the sum of One dollars (\$ 1.00), the receipt whereof is acknowledged, and other good and valuable consideration, I, the applicant, by these presents do sell, assign and transfer unto said assignee the full and exclusive right to the said invention in the United States and the entire right, title and interest in and to any and all Patents which may be granted therefor in the United States, I hereby authorize and request the Commissioner of Patents and Trademarks to issue said United States Patent to said assignee, of the entire right, title, and interest in and to the same, for his sole use and behoof, and for the use and behoof of his legal representatives, to the full end of the term for which said Patent may be granted, as fully and entirely as the same would have been held by me had this assignment and sale not been made.

Executed this 05 day of February, 2001  
at NEDC, NASHUA NH

Marco Carrer  
(Signature)

State of \_\_\_\_\_ SS:  
County of \_\_\_\_\_

Before me personally appeared said Marco Carrer  
and acknowledged the foregoing instrument to be his free act and deed this \_\_\_\_\_  
day of \_\_\_\_\_

Seal

(Notary Public)

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# ASSIGNMENT OF APPLICATION

Docket Number (Optional)  
 99,009

Whereas, I, Paul Lin of Nashua, NH 03060, hereafter referred to as applicant, have invented certain new and useful improvements in Media Annotator

☒ for which an application for a United States Patent was filed on 10/1/1999,  
 Application Number 09 / 410,781

☐ for which an application for a United States Patent was executed on \_\_\_\_\_, and

Whereas, Oracle Corporation of Redwood Shores, CA 94065 herein referred to "assignee" whose post office address is 500 Oracle Parkway, Redwood Shores, CA 94065 is desirous of acquiring the entire right, title and interest in the same;

Now, therefore, in consideration of the sum of One dollars (\$ 1.00), the receipt whereof is acknowledged, and other good and valuable consideration, I, the applicant, by these presents do sell, assign and transfer unto said assignee the full and exclusive right to the said invention in the United States and the entire right, title and interest in and to any and all Patents which may be granted therefor in the United States, I hereby authorize and request the Commissioner of Patents and Trademarks to issue said United States Patent to said assignee, of the entire right, title, and interest in and to the same, for his sole use and behoof; and for the use and behoof of his legal representatives, to the full end of the term for which said Patent may be granted, as fully and entirely as the same would have been held by me had this assignment and sale not been made.

Executed this 5<sup>th</sup> day of Feb., 2001  
 at NEDC Nashua, NH

State of \_\_\_\_\_ SS:

County of \_\_\_\_\_

Before me personally appeared said Paul Lin

and acknowledged the foregoing instrument to be his free act and deed this \_\_\_\_\_ day of \_\_\_\_\_

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(Signature)  
 \_\_\_\_\_  
 (Notary Public)

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<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	Application Number	09/410,781
	Filing Date	10/1/1999
	First Named Inventor	Srivastava, Alok
	Group Art Unit	2781
	Examiner Name	unassigned
Total Number of Pages in This Submission	Attorney Docket Number	99,009

ENCLOSURES (check all that apply)		
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<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
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<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.62 or 1.63		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Charles G. Call, Reg. No. 20,406
Signature	
Date	2/8/2001

CERTIFICATE OF MAILING	
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Date	2/8/2001

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 Washington, D.C. 20231

JULY 27, 2000

PTAS

CHARLES G. CALL  
 PATENT ATTORNEY  
 53 SAINT STEPHEN STREET  
 BOSTON, MA 02115



\*101372512A\*

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RECORDATION DATE: 05/10/2000

REEL/FRAME: 010817/0667  
 NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

CHATTERJEE, RAJA

DOC DATE: 04/25/2000

ASSIGNOR:

MAVRIS, SUSAN

DOC DATE: 04/27/2000

ASSIGNEE:

ORACLE CORPORATION  
 500 ORACLE PARKWAY  
 REDWOOD SHORES, CALIFORNIA 94065

SERIAL NUMBER: 09496086

FILING DATE: 02/01/2000

PATENT NUMBER:

ISSUE DATE:

SHARON LATIMER, EXAMINER  
 ASSIGNMENT DIVISION  
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FORM PTO-1619A  
Expires 06/30/99  
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06-02-2000

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101372512

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☐ Departmental File☐ Secret File**Conveying Party(ies)**☐ Mark if additional names of conveying parties attached

Name (line 1) Chatterjee, Raja

Execution Date  
Month Day Year  
April 25, 2000

Name (line 2)

**Second Party**

Name (line 1) Mavris, Susan

Execution Date  
Month Day Year  
April 27, 2000

Name (line 2)

**Receiving Party**☐ Mark if additional names of receiving parties attached

Name (line 1) Oracle Corporation

Name (line 2)

Address (line 1) 500 Oracle Parkway

Address (line 2)

Address (line 3) Redwood Shores

CA

94065

City

State/Country

Zip Code

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U.S. Department of Commerce  
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**PATENT****Correspondent Name and Address**

Area Code and Telephone Number (617) 266-2925

Name Charles G. Call

Address (line 1) Patent Attorney

Address (line 2) 53 Saint Stephen Street

Address (line 3) Boston, MA 02115

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09/496,086

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## ASSIGNMENT OF APPLICATION

Docket Number (Optional)  
99,028

Whereas, I, Raja Chatterjee of Nashua, NH 03062, hereafter referred to as applicant, have invented certain new and useful improvements in Indexing and Searching MultiMedia-Rich Web Pages

☒ for which an application for a United States Patent was filed on 2/1/00,  
Application Number 09 / 496,086

☐ for which an application for a United States Patent was executed on \_\_\_\_\_, and

Whereas, Oracle Corporation of Redwood Shores, CA 94065 herein referred to "assignee" whose post office address is 500 Oracle Parkway, Redwood Shores, CA 94065 is desirous of acquiring the entire right, title and interest in the same;

Now, therefore, in consideration of the sum of One dollars (\$ 1.00), the receipt whereof is acknowledged, and other good and valuable consideration, I, the applicant, by these presents do sell, assign and transfer unto said assignee the full and exclusive right to the said invention in the United States and the entire right, title and interest in and to any and all Patents which may be granted therefor in the United States. I hereby authorize and request the Commissioner of Patents and Trademarks to issue said United States Patent to said assignee, of the entire right, title, and interest in and to the same, for his sole use and behoof; and for the use and behoof of his legal representatives, to the full end of the term for which said Patent may be granted, as fully and entirely as the same would have been held by me had this assignment and sale not been made.

Executed this 25<sup>th</sup> day of April, 2000

at Nashua, New Hampshire

Raja Chatterjee  
(Signature)

State of New Hampshire, SS: 592-43-6493

County of Hillsborough

Before me personally appeared said Raja Chatterjee

and acknowledged the foregoing instrument to be his free act and deed this 25<sup>th</sup> day of April, 2000.

Seal

Gail T. Leary  
(Notary Public)

9/20/00

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## ASSIGNMENT OF APPLICATION

Docket Number (Optional)  
99,028

Whereas, I, Susan Mavris of Bedford, NH 03110, hereafter referred to as applicant, have invented certain new and useful improvements in Indexing and Searching MultiMedia-Rich Web Pages

☒ for which an application for a United States Patent was filed on 2/1/00,  
Application Number 09 / 496,086

☐ for which an application for a United States Patent was executed on \_\_\_\_\_, and

Whereas, Oracle Corporation of Redwood Shores, CA 94065 herein referred to "assignee" whose post office address is 500 Oracle Parkway, Redwood Shores, CA 94065 is desirous of acquiring the entire right, title and interest in the same;

Now, therefore, in consideration of the sum of One dollars (\$ 1.00), the receipt whereof is acknowledged, and other good and valuable consideration, I, the applicant, by these presents do sell, assign and transfer unto said assignee the full and exclusive right to the said invention in the United States and the entire right, title and interest in and to any and all Patents which may be granted therefor in the United States, I hereby authorize and request the Commissioner of Patents and Trademarks to issue said United States Patent to said assignee, of the entire right, title, and interest in and to the same, for his sole use and behoof; and for the use and behoof of his legal representatives, to the full end of the term for which said Patent may be granted, as fully and entirely as the same would have been held by me had this assignment and sale not been made.

Executed this 27th day of April,  
at Nashua NH

State of New Hampshire SS:  
County of Rockingham

Before me personally appeared said Susan Mavris  
and acknowledged the foregoing instrument to be his free act and deed this 27th  
day of April, 2000.

(Signature)

Seal

(Notary Public)

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